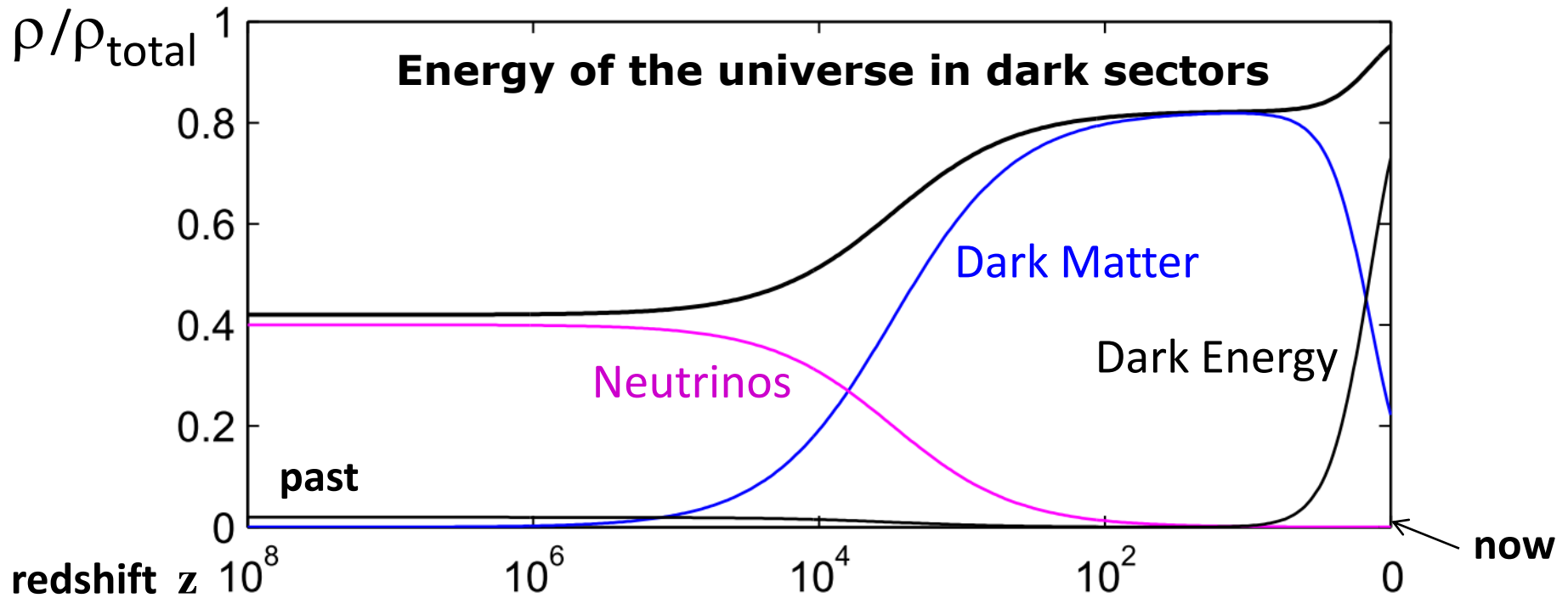


“A change by a cause”:  
advancing  
precision cosmology  
from Ptolemaic  
to Copernican

Sergei Bashinsky

2007, revised in 2021

# Motivation



The properties of the dark sectors are probed best by observing signatures of dark species' **inhomogeneities**.

Detectable signatures of dynamics of dark inhomogeneities:

- ✓ break degeneracy of constraints from background evolution,
- ✓ provide new information at every scale  $k$ .

# Crucial subtleties

Which properties of the dark species are constrained by observations?

Which data probes which properties of the dark species?

At any scale  $k$ , we should address **horizon entry**, when  $\mathcal{H}(z) \equiv \frac{a, \tau}{a} \sim k$

- ✓ Only during the entry can perturbations in dark species with  $p \sim \rho$  (e.g., neutrinos, dynamical dark energy, etc.) reveal themselves.\*
- ✓ During the entry, perturbations in any dark species affect the CMB much stronger than at any other time.

Yet before and during the horizon entry

**description of evolution of perturbations is highly ambiguous**

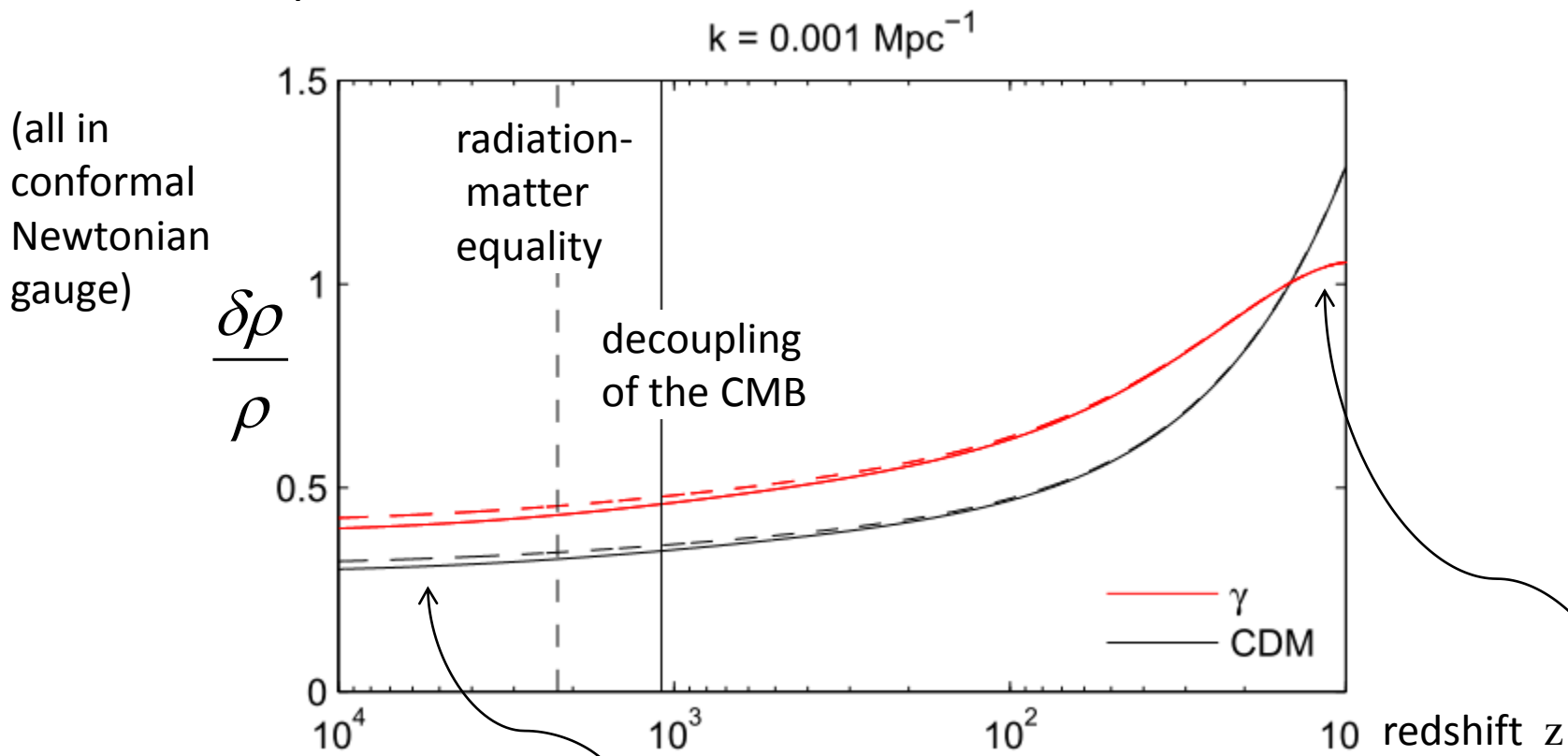
(“Gauge-invariant” formalisms neither eliminate nor alleviate the ambiguity – see slide 6.)

\* Indeed, after the entry, when the spatial scale of a perturbation  $l = k^{-1}$  has become smaller than the Hubble scale  $l_H = \mathcal{H}^{-1}$ , any such species, whose overdensity remains small, contribute to the gravitational potential negligibly:  $\delta\Phi \sim - \left( \frac{l}{l_H} \right)^2 \frac{\delta\rho}{\rho}$

# Example of descriptive ambiguities

Compare two models of extra dark radiation, additional to neutrinos: new decoupled particles (solid) vs. tracking quintessence (dashed).

The plots show for the two modes, with same inflationary init. conditions, evolution of perturbations that **enter the horizon in the matter era**:

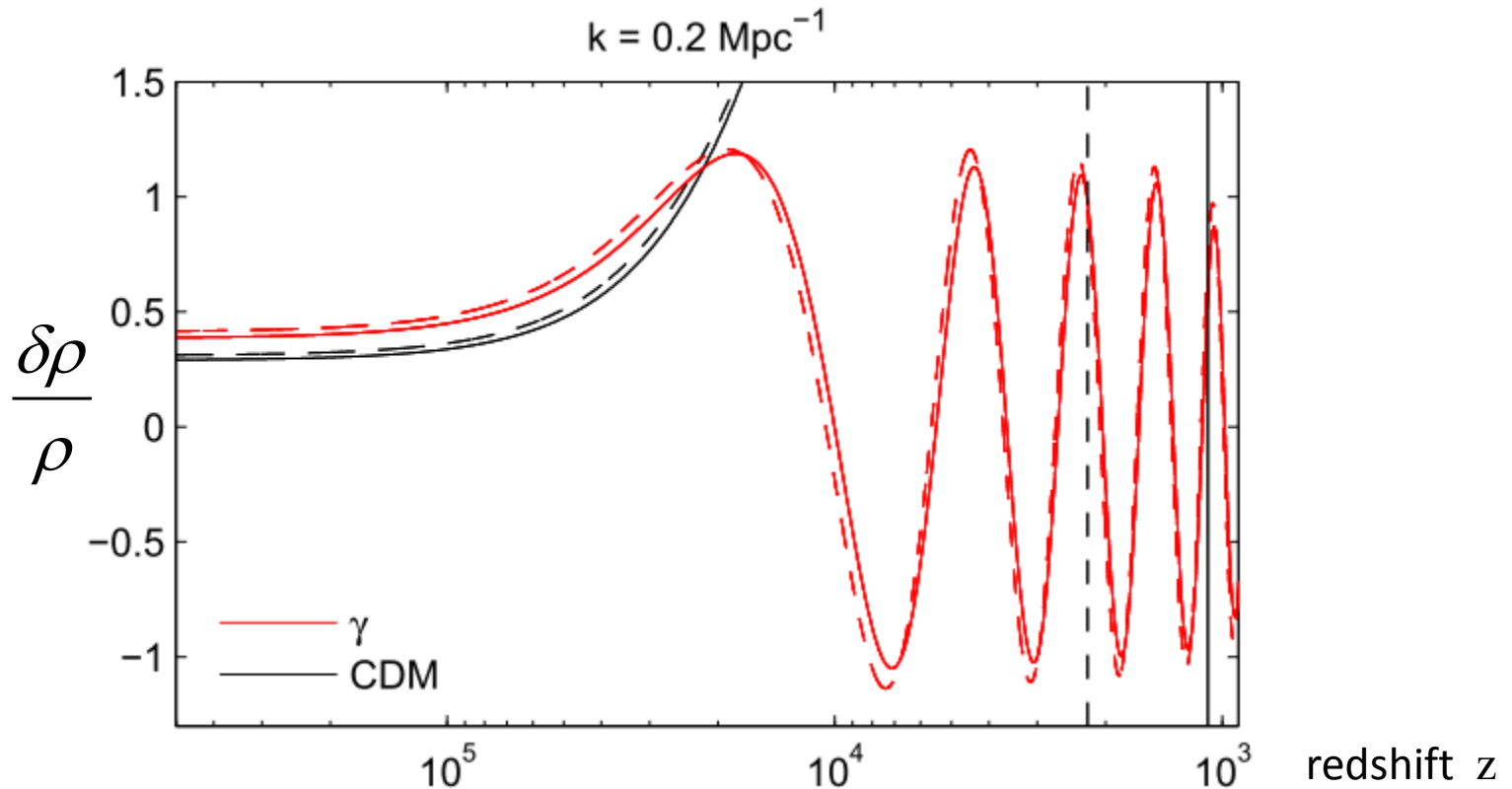


**The apparent difference of the evolution in the compared models is a descriptive artifact.**

Indeed, the two models yield the **same observables**.

# Example of descriptive ambiguities, continued

Yet for the same two models with the same inflationary initial conditions, compare perturbations that **enter in the radiation era**:



At this scale  $k$ , **observables differ among the two models!**

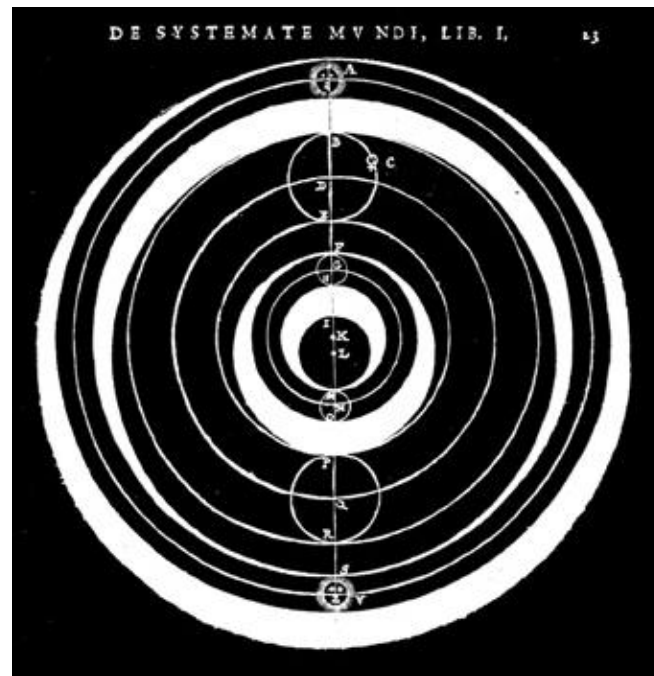
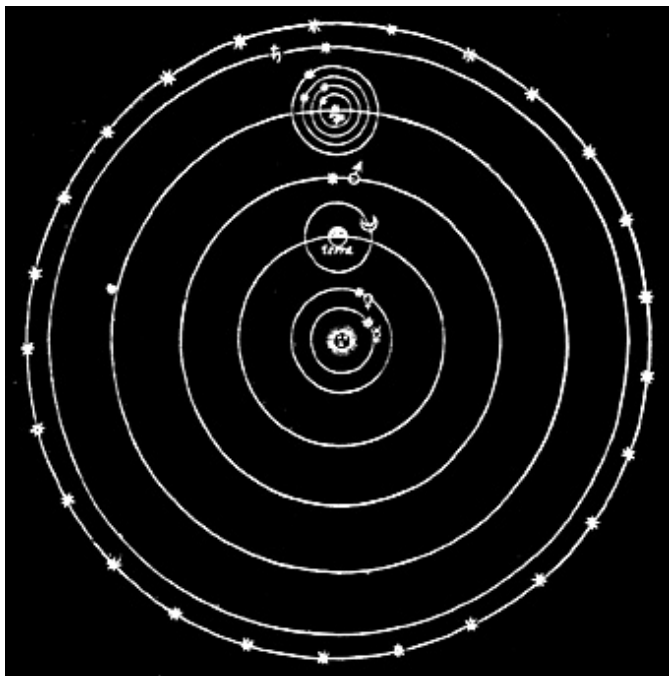
**How to separate physics from artifacts of its description?**

# Alternative approaches

- A. “Gauge-invariant” descriptions:
  - are equivalent to gauge fixing, thus are **equally ambiguous**.
- B. Numerical computations of observables in any fixed gauge:
  - important yet visually inconspicuous signatures of dark species and of their properties are **easy to overlook**;
  - the origin of various features of the observable distributions is established by **guessing** (often **wrong**).
- C. Dynamical variables that reveal causal dependences explicitly.

# Example: Weakly perturbed Minkowski metric

When the metric is almost Minkowski then in certain, *preferred coordinates* – those of the inertial frames – any **changes** of velocities are necessarily **due to** objective external **causes**:



The Copernican, as opposed to Ptolemaic, variables offer:

- ✓ Simpler description of dynamics and evolution
- ✓ Manifest “cause  $\leftrightarrow$  effect” relations
- ✓ Lead to underlying, more fundamental laws

# Large-scale linear dynamics in FRW metric

When the metric is almost FRW, i.e., is weakly perturbed FRW,

1. Do objective “cause  $\leftrightarrow$  effect” dependencies exist?
2. If yes, do any variables show the objective dependencies manifestly?
3. Does this lead to physics more fundamental than we currently know?

---

“Yes” for 1. and 2. when perturbations evolve linearly:

- ✓ Subhorizon evolution: Use variables that become the perturbations in inertial frames (with almost Minkowski metric).
- ✓ Superhorizon: If a perturbation couples only gravitationally then its observable impact does not depend on events that happened when the perturbation was superhorizon. Hence, use variables that are frozen while the perturbation is superhorizon.
- ✓ Horizon entry: Next slide



# Weakly perturbed Minkowski space

∃ coordinate frames in which:

The velocity an object is **constant** when no force from an objectively identifiable external source acts on the object

We can prove

- a. Uniqueness: All measures of species' overdensity that satisfy 1–3 and coincide on small scales must also coincide on superhorizon scales.
- b. Cause-effect faithfulness: A change in an evolving variable that satisfies 1–3 is **concurrent** with the microscopic processes responsible for the change.  
(Fails for the majority of the traditional variables!)



# Linearly perturbed FRW

∃ measures of overdensities of cosmological species that are:

1. **Frozen** on superhorizon scales  
(unless the species are being created by other species with different overdensity)
2. Remain constant (i.e., **unaffected by spurious “gravitational forces”**) in homogeneous and isotropic geometry
3. Reduce to the ordinary proper excess of density on subhorizon scales

SB, Phys. Rev. D 74 (2006)

# Measures that satisfy 1-3

- **Canonical** phase-space distribution:

$$\delta f(x^i, P_i), \quad P_i \text{ are the canonical (not the physical) momenta}$$

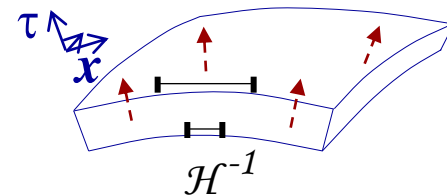
- **Canonical** radiation intensity:

$$l(x^i, n_i) \equiv \frac{I(x^i, n_i) - \bar{I}}{\bar{I}}, \quad I(x^i, n_i) \equiv \int_0^\infty P^3 dP f(x^i, n_i P)$$

- **Coordinate** density of a “conserved particle number”:

$$\delta_a \equiv \frac{\delta n_a}{n_a} = \frac{\delta \rho_a}{\rho_a + p_a} - 3\Psi \quad (= 3\zeta_a)$$

(for any gauge without shear, with  $\delta g_{ij}^{(3)} = -2a^2 \delta_{ij} \Psi$ )



- ✓ These quantities are interrelated:

$$\delta(x^i) = \frac{\int d^3 P_i P_0 \delta f(x^i, P_i)}{a^4 (\rho + p)} = \frac{3}{4} \left\langle l(x^i, n_i) \right\rangle_{n_i}$$

# This approach

vs.

# Traditional

- Photon fluid:  $\ddot{\delta}_\gamma + \chi \dot{\delta}_\gamma - c_s^2 \nabla^2 \delta_\gamma =$

$$= \nabla^2 (\Phi + \Psi)$$

$$= \nabla^2 \Phi + 3\ddot{\Psi}$$

(Easy to include scattering and polarization: SB, PRD 2006)

- Massive matter:

$$\ddot{\delta}_c + \mathcal{H} \dot{\delta}_c =$$

$$= \nabla^2 \Phi$$

$$= \nabla^2 \Phi + 3\mathcal{H} \dot{\Psi} + 3\ddot{\Psi}$$

(Easy to include scattering: SB, PRD 2006)

- Intensity of streaming (decoupled) relativistic neutrinos or photons:

$$\dot{l} + n_i \nabla_i l =$$

$$-4n_i \nabla_i (\Phi + \Psi)$$

$$= -4(n_i \nabla_i \Phi - \dot{\Psi})$$

(Easy to include neutrino masses: SB, PRD 2006)

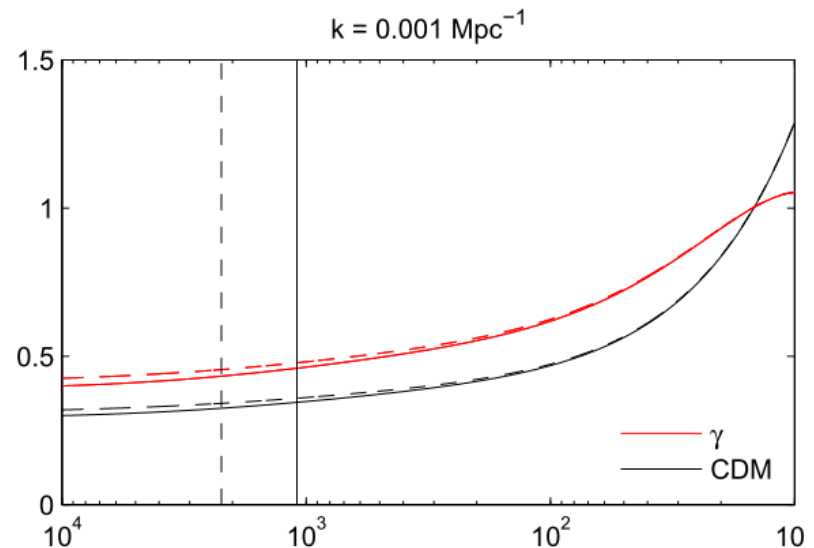
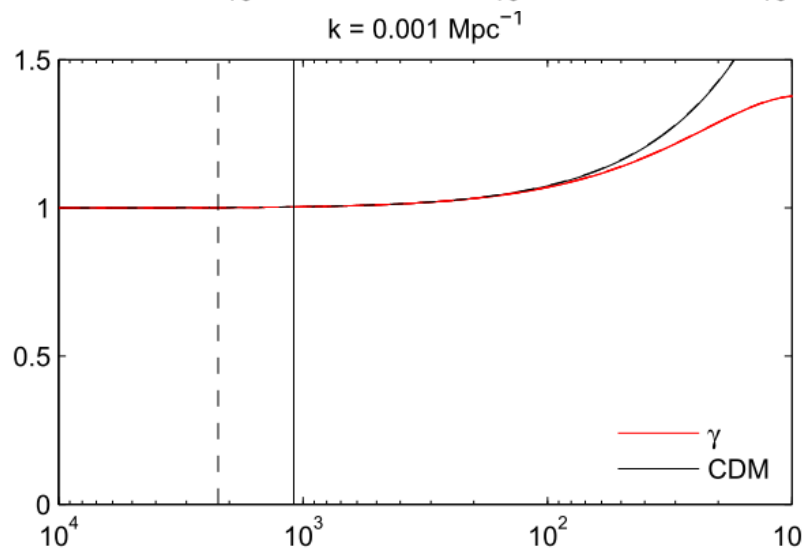
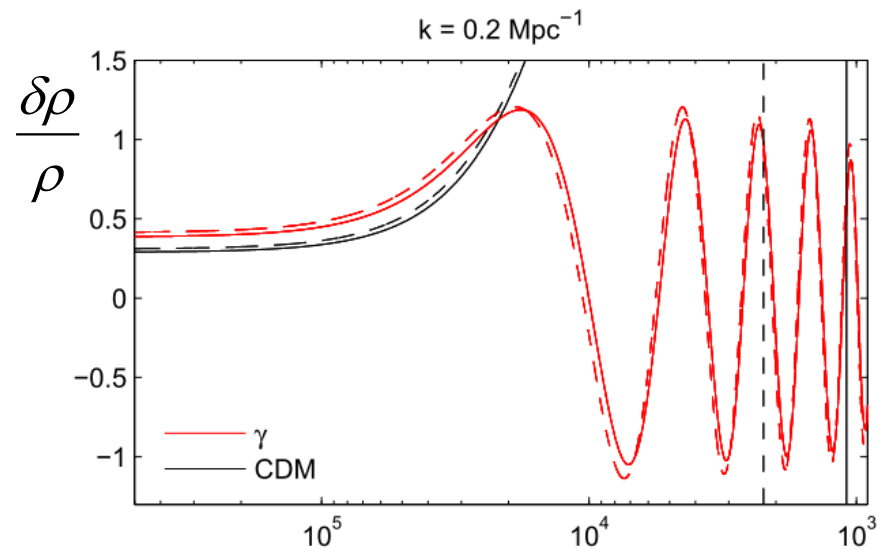
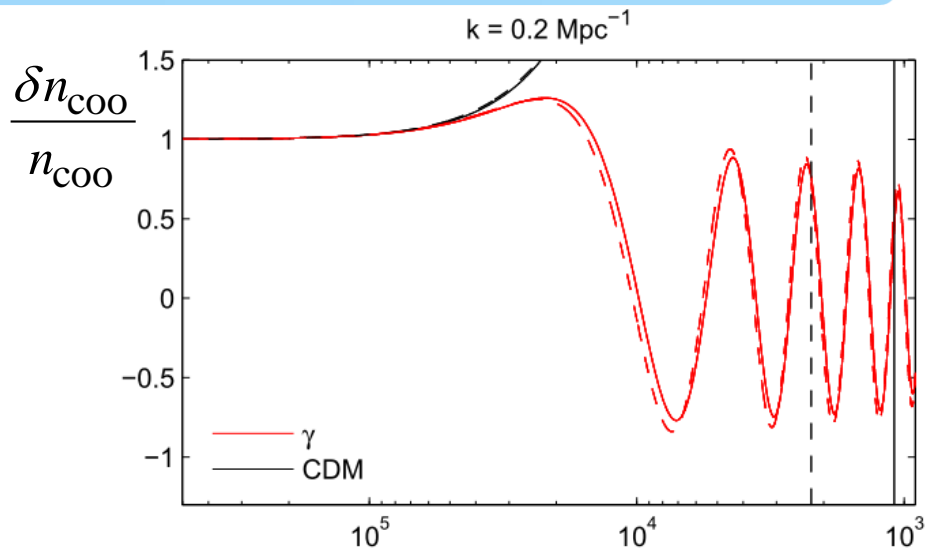
**Simpler, more meaningful,  
more direct**

- $\Psi$  is a **non-dynamical functional of  $\delta_a$  and  $\dot{\delta}_a$**
- $\dot{\Psi}$  and  $\ddot{\Psi}$  terms are **dominant** before and during horizon entry

# This approach

vs.

# Traditional



**Simpler, more meaningful,  
connects microscopic properties  
with observables**

Some effects on large and intermediate scales that were believed to be physical are artifacts of the traditional descriptions!

# This approach

vs.

# Traditional

- Analytical solutions (shown for the radiation era)

Photons:

$$\delta_\gamma = 3\zeta_{\text{in}} \left( -\cos \varphi + \frac{2 \sin \varphi}{\varphi} \right)$$

Cold dark matter:

$$\delta_c = 6\zeta_{\text{in}} \left( \ln \varphi + \gamma - \frac{1}{2} + \frac{\sin \varphi}{\varphi} - \text{ci} \varphi \right)$$

**Simpler, more useful**

$$\delta_\gamma = 3\zeta_{\text{in}} \left( -\cos \varphi + \frac{2 \sin \varphi}{\varphi} + \frac{2 \cos \varphi}{\varphi^2} - \frac{2 \sin \varphi}{\varphi^3} \right)$$

$$\delta_c = 6\zeta_{\text{in}} \left( \ln \varphi + \gamma - \frac{1}{2} + \frac{\sin \varphi}{\varphi} - \text{ci} \varphi + \frac{\cos \varphi}{\varphi^2} - \frac{\sin \varphi}{\varphi^3} \right)$$

$\varphi \equiv kS$ , where  $S(\tau) = \int_0^\tau c_s d\tau$  is **sound** horizon

This formalism produced for the first time analytically **neutrino impact** on the CMB:

SB and Seljak, PRD 2004

$$\delta_\gamma(k) \rightarrow A_\gamma \cos(kc_s\tau) - \pi\sqrt{3} (\Phi + \Psi)|_{x=c_s\tau} \sin(kc_s\tau)$$

It revealed that the **phase** of the CMB acoustic oscillations is **shifted** only by species whose perturbations propagate **faster than sound**.

Specifically, for neutrinos,  $\delta l \approx -3.4 \delta N_\nu$ , for tracking quintessence  $\delta l \approx -11 \delta N_\phi$ .

# This approach lets us work in real space

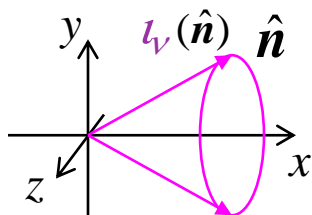
Photons:

$$\ddot{\delta}_\gamma - c_s^2 \nabla^2 \delta_\gamma = \nabla^2 (\Phi + \Psi)$$

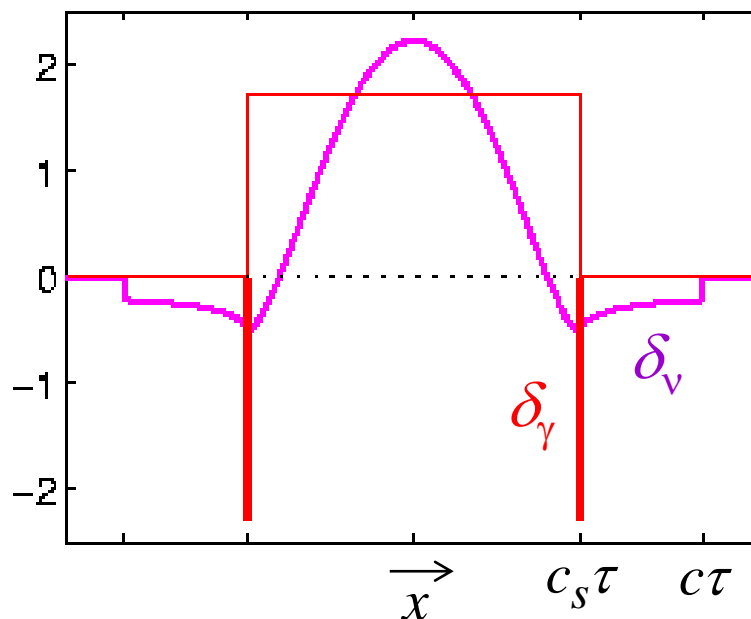
Neutrinos:

$$i_\nu + n_i \nabla_i l_\nu = -4n_i \nabla_i (\Phi + \Psi),$$

$$\delta_\nu = \langle l_\nu(\mathbf{n}) \rangle_{\mathbf{n}}$$



Analytically calculated Green's functions for the coupled CMB-neutrino evolution:



This calculation yielded the neutrino impact on the CMB (previous slide).

# Conclusions

The suggested measures of cosmological perturbations obey much simpler, easier to integrate, and more physically meaningful dynamical equations than those of the traditional approaches.

The resulting equations manifest objective causal dependencies explicitly, whereas the traditional formalisms misguide our intuition about large-scale cosmological evolution.

The developed formalism lets us analyze realistic inhomogeneous evolution, intractable analytically with the earlier formalisms.

It has already led to the discovery of several previously unknown effects.\*

Should this approach become mainstream?

Apparently. How soon will it?

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\* In addition to the effects mentioned in the slides, see those described in

**SB** [arXiv:0707.0692](https://arxiv.org/abs/0707.0692) and in **Baumann et al.** [arXiv:1803.10741](https://arxiv.org/abs/1803.10741)